



*DSW/B*

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P.C.

Docket No.: 216491US8

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

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RE: Application Serial No.: 10/080,121

Applicants: Tsuyoshi WAKISAKA, et al.

Filing Date: February 22, 2002

For: SEMICONDUCTOR LASER DEVICE AND  
SEMICONDUCTOR LASER MODULE

Group Art Unit: 2828

Examiner: LEUNG, QUYEN PHAN

SIR:

Attached hereto for filing are the following papers:

Letter

Date-stamped filing receipt of October 30, 2003

Signed copy of the Information Disclosure Statement/PTO-1449 and Cited References (2)

Our check in the amount of \_\_\_\_\_ is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Bradley D. Lytle  
Registration No. 40,073

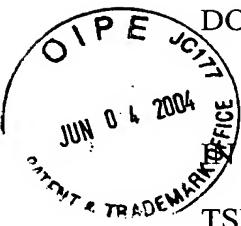
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DOCKET NO: 216491US-8

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

RE APPLICATION OF

TSUYOSHI WAKISAKA, ET AL.

: EXAMINER: LEUNG, QUYEN PHAN

SERIAL NO: 10/080,121

:

FILED: FEBRUARY 22, 2002

: GROUP ART UNIT: 2828

FOR: SEMICONDUCTOR LASER  
DEVICE AND SEMICONDUCTOR  
LASER MODULE

:

LETTER

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Enclosed herewith is a signed copy of the Information Disclosure Statement (IDS) filed on October 30, 2003 along with the date-stamped filing receipt indicating the original filing date of October 30, 2003.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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Attorney of Record  
Registration No. 40,073  
Maichael E. Monaco  
Registration No. 52,041



OSMM&N File No. 216491US8  
Serial No. 10/080,121

Dept.: E/M  
By: BDL/EDG/eac

In the matter of the Application of: Tsuyoshi WAKISAKA, et al.

For: SEMICONDUCTOR LASER DEVICE AND SEMICONDUCTOR LASER  
MODULE

**Due Date: N/A**

The following has been received in the U.S. Patent Office on the date stamped hereon

Dep. Acct. Order Form

- Information Disclosure Statement
- PTO-1449
- Cited References 2



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tsuyoshi WAKISAKA, et al.

SERIAL NO: 10/080,121

GAU: 2812

FILED: February 22, 2002

EXAMINER:

SEMICONDUCTOR LASER DEVICE AND SEMICONDUCTOR LASER MODULE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

U.S. PATENT & TRADEMARK OFFICE  
JUN. 04 2004  
COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

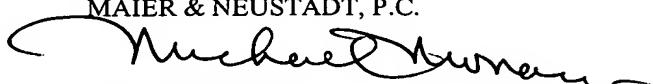
- Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
Bradley D. Lytle

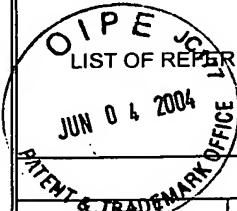
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| Form PTO 1449<br>(Modified)  |                 | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |         | ATTY DOCKET NO.<br>216491US8 |                 | SERIAL NO.<br>10/080,121   |                            |
|--|-----------------|--|---------|------------------------------|-----------------|--|----------------------------|
| <br><b>LIST OF REFERENCES CITED BY APPLICANT</b><br><i>JUN 04 2004</i><br><b>PATENT &amp; TRADEMARK OFFICE</b> |                 | APPLICANT  |         | Tsuyoshi WAKISAKA, et al.    |                 |  |                            |
|  |                 | FILING DATE  |         | GROUP<br>2812                |                 |  |                            |
| <b>U.S. PATENT DOCUMENTS</b>   |                 |  |         |                              |                 |  |                            |
| EXAMINER INITIAL   | DOCUMENT NUMBER | DATE   | NAME    |                              | CLASS           | SUB CLASS  | FILING DATE IF APPROPRIATE |
| AA   |                 |  |         |                              |                 |  |                            |
| AB   |                 |  |         |                              |                 |  |                            |
| AC   |                 |  |         |                              |                 |  |                            |
| AD   |                 |  |         |                              |                 |  |                            |
| AE   |                 |  |         |                              |                 |  |                            |
| AF   |                 |  |         |                              |                 |  |                            |
| AG   |                 |  |         |                              |                 |  |                            |
| AH   |                 |  |         |                              |                 |  |                            |
| AI   |                 |  |         |                              |                 |  |                            |
| AJ   |                 |  |         |                              |                 |  |                            |
| AK   |                 |  |         |                              |                 |  |                            |
| AL   |                 |  |         |                              |                 |  |                            |
| AM   |                 |  |         |                              |                 |  |                            |
| AN   |                 |  |         |                              |                 |  |                            |
| <b>FOREIGN PATENT DOCUMENTS</b>  |                 |  |         |                              |                 |  |                            |
|  | DOCUMENT NUMBER | DATE   | COUNTRY |                              | TRANSLATION     |  |                            |
|  |                 |  |         |                              | YES             | NO   |                            |
| AO   | Hei 11-26887    | 1/29/1999  | Japan   |                              | X               |  |                            |
| AP   | 2000-340878     | 9/8/2000   | Japan   |                              | X               |  |                            |
| AQ   |                 |  |         |                              |                 |  |                            |
| AR   |                 |  |         |                              |                 |  |                            |
| AS   |                 |  |         |                              |                 |  |                            |
| AT   |                 |  |         |                              |                 |  |                            |
| AU   |                 |  |         |                              |                 |  |                            |
| AV   |                 |  |         |                              |                 |  |                            |
| <b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>   |                 |  |         |                              |                 |  |                            |
|  | AW              |  |         |                              |                 |  |                            |
|  | AX              |  |         |                              |                 |  |                            |
|  | AY              |  |         |                              |                 |  |                            |
|  | AZ              |  |         |                              |                 | <input type="checkbox"/> Additional References sheet(s) attached |                            |
| Examiner   |                 |  |         |                              | Date Considered |  |                            |

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.